

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: GALEA, NICK

Serial No. 10/756,907 Art Unit

Filed: January 14, 2004 Examiner:

For: AUTOMATIC WHITELISTS

Assistant Commissioner for Patents
Washington, D.C. 20231
USA

Sir,

INFORMATION DISCLOSURE STATEMENT

In the interests of candor, the Applicants wish to draw the Examiner's attention to following disclosures which have been lodged by a third party at the UK Patent Office. These disclosures have not been cited by the UK Patent Office.

1. Hexamail (<http://www.hexamail.com/hexamailguard/features.htm#featurewhitelist>) dated 3/8/2004
2. Xwall (<http://xwall.us/whitelisting.htm>)
3. PolicyPatrol
(http://www.policypatrol.com/PolicyPatrolSpamFilter.htm#White_black_lists) dated 3/11/2004
4. SpamSMART (<http://www.electricmail.com/ele/446>) 3/11/2004
5. Spam Assassin (<http://www.gsp.com/cgi-bin/man.cgi?section=1&topic=spamassassin>) dated 5/Apr/2004
6. Apocgraphy (<http://www.ultimaterepository.com/internet/anti%20spam/8297.aspx>) 3/11/2004
7. TDMA open source (<http://tmda.net/features.html>) dated 5/Apr/2004

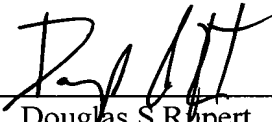
8. ASSP open source (<http://www.auditmypc.com/freescan/readingroom/anti-spam.asp>) dated 3/11/2004
9. Policy Patrol (http://www.majornewswire.com/artman/publish/printer_206.shtml)
10. TMDA (<http://mla.libertine.org/tmda-users/2001-12/msg00060.html>) dated 3/11/2004
11. Spam Assassin (<http://www.randomhacks.net/2002/08/06/>) dated 3/11/2004

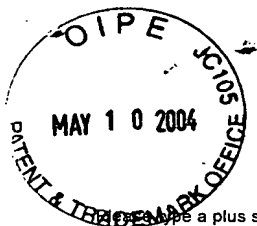
The purported relevance of the references is as indicated in the attached Observations submitted to the GB Patent Office by a third party.

The above references are listed on the attached Form PTO/SB/08A and the Examiner is respectfully requested to make them of record.

Respectfully submitted

Date: 5/5/04

By: 
Douglas S Rupert
Reg. No. 44,434



Place a plus sign (+) inside this box → ☐

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	10/756,907
Filing Date	January 14, 2004
First Named Inventor	GALEA, NICK
Group Art Unit	
Examiner Name	
Attorney Docket Number	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Hexamail (http://www.hexamail.com/hexamailguard/features.htm#featurewhitelist) dated 3/8/2004	
		Xwall (http://xwall.us/whitelisting.htm)	
		PolicyPatrol (http://www.policypatrol.com/PolicyPatrolSpamFilter.htm#White_black_lists) dated 3/11/2004	
		SpamSMART (http://www.electricmail.com/ele/446) 3/11/2004	
		Spam Assassin (http://www.gsp.com/cgi-bin/man.cgi?section=1&topic=spamassassin) dated 5/Apr/2004	
		Apocraphy (http://www.ultimaterepository.com/internet/anti%20spam/8297.aspx) 3/11/2004	
		TDMA open source (http://tmda.net/features.html) dated 5/Apr/2004	
		ASSP open source (http://www.auditmypc.com/freescan/readingroom/anti-spam.asp) dated 3/11/2004	
		Policy Patrol (http://www.majornewswire.com/artman/publish/printer_206.shtml)	
		TMDA (http://mla.libertine.org/tmda-users/2001-12/msg00060.html) dated 3/11/2004	
		Spam Assassin (http://www.randomhacks.net/2002/08/06/) dated 3/11/2004	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

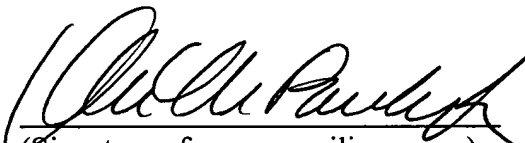


CERTIFICATE OF MAILING

DATE OF DEPOSIT: May 5, 2004

I hereby certify that the attached paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service First Class Mail on the date indicated above and is addressed to Commissioner for Patents, Box 1450, Alexandria, VA. 22313-1450.

Christine M. Pavlyk
(Name of person mailing paper)


(Signature of person mailing paper)